

INTERNATIONAL ORGANIZATION
POLI 375, FALL 2015
Tuesday and Thursday, 08:00 am-09:15 am
Room 211 Hertzstein Hall

INSTRUCTOR:

Naoko Matsumura
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Office Hours: Tuesday and Thursday 10:00 am-11:00 am, or by appointment

COURSE DESCRIPTIONS:

International Organizations (IOs) affect many issue areas ranging from international security to human rights protection. Also, how states cooperate through IOs often becomes public debates in the United States and abroad. This course provides you with an increased understanding of the role of IOs (or international institutions) in world politics; including their creation, dynamics, and influence on state behaviors. This course is composed of three sections. First, we will focus on the evolution and development of IOs in the historical context. Second, we will learn why and how states create and use IOs and how IOs may influence state behaviors. We will do so by surveying and examining various theoretical arguments on the roles of IOs. Third, we will explore and discuss the role of IOs in particular issue areas and current issues facing IOs. As a part of this effort, each of you will research on an IO(s) in a specific area of your interest and write a policy paper.

COURSE GOALS:

By the end of the course, you should be able to develop the following skills:

- (1) To describe the key arguments and theories surrounding questions of why IOs exist and how they can affect state behaviors.
- (2) To analyze the role of IOs in world politics by applying general theoretical frameworks.
- (3) To form your own assessments or policy recommendations concerning IOs.

REQUIRED READINGS:

Required and suggested readings are all available on OWL-Space in the Readings holder.

EVALUATION:

Grades will be determined in the manner described below. The grading scale for this course is as follows:

97-100	A+	87-89	B+	77-79	C+	67-69	D+
93-96	A	83-86	B	73-76	C	60-66	D
90-92	A-	80-82	B-	70-72	C-	0-59	F

(1) Class Participation (15%)

This includes both attendance and the quality of your participation in class discussion. Thus, regular attendance is expected and will not raise your participation grade. If you do not attend, however, your participation grade will be reduced. You are expected to have completed the assigned readings before class and to be able to contribute to the class discussion. You are also expected to treat other students with civility and respect.

(2) Analytical Essays (50%: Essay 1 (20%), Essay 2 (20%), and Essay 3 (10%))

There are three analytical essay requirements during the semester. Each student must turn in an analytical essay of no more than five pages (typed and double spaced, using a 11 size font with one inch margins), in response to questions based on the readings and additional topics covered in each session of the class. The deadlines for submitting these essays are **5 pm on Tuesday, Sep. 22, Thursday, Oct. 29, and Thursday, Dec. 3**. The essay questions will be posted on Owl-Space one week before the deadlines. Your essay should not merely summarize the assigned readings. Rather, you need to critically evaluate the readings and make our own arguments.

You are required to turn in your essays through OWL-Space. If an emergency arises, you will need to contact me before the deadlines. Extensions will be granted only in the case of medical excuse or verified emergency (NOTE: computer problems including lost files and clashed drives are not considered as emergency). Otherwise, late essays will be penalized a half letter grade per 24 hour period. More information about this assignment will be provided during the semester.

(3) Extra Reading Memo and Presentation (10%)

Each student is required to submit a written memo about an article (marked as extra readings) and provide a 5-10 minute in-class presentation about the article. You are expected to post your memo via OWL-Space **prior to class on the day of your presentation**. A guideline for the memo will be available on OWL-Space.

Extra readings are noted in the syllabus with *****[#]**. We will decide who is in charge for each reading on **Thursday, Sept. 17**.

(4) Researched Policy Paper (25%)

Towards the end of the semester, you are required to **write a researched policy paper** that addresses the role of an IO(s) in an issue area. Your paper should cover an issue area chosen from themes discussed in the third section of the class. The main purpose of this policy paper is to make an informed and well documented argument to the head of a state or the leaders of IOs about how he/she should address a particular policy issue.

An excellent policy paper will include a brief summary of the issue, the analysis of the issue with reference to the relevant theoretical arguments, and policy recommendations that are both theoretically relevant and practically feasible. Besides the assigned readings on the week that your issue area is discussed, you will be expected to use **at least 4 additional readings** on your chosen subject (e.g., journal articles, books, news articles *etc.*). You must decide your topic of interest by **Tuesday, Sep. 22**.

Your policy paper should be typed, double-spaced (using a 11 size font, with one inch margins), and no longer than 10 pages (this does not include a title page and references). If your paper exceeds this limit, I will not read the part that is over the limit. In addition, you are required to turn in your paper through OWL-Space. The paper is due on **Thursday, Dec. 10 by Midnight**. Extensions will be granted only in the case of medical excuse or verified emergency. Otherwise, a late paper will be penalized a half letter grade per 24 hour period. More information about this assignment will be conveyed during the semester.

If you have concerns about your writing, I would recommend that you visit the Center for Written, Oral, and Visual Communication. The Center is located on the second floor of Fondren Library. You can find more information about the Center from: <http://cwovc.rice.edu/>.

ANTHER REQUIREMENTS:

Academic Honesty: Students are expected to adhere to Honor Code policies on all assignments and exams. You may not consult any materials nor discuss the exam with others during the examination period. Also, students are strongly urged to familiarize themselves with the definition of plagiarism and avoid it. If you have any questions concerning the Honor Code policy for this class, it is highly recommended to read the “Honor System Handbook (read especially “Academic Fraud and the Honor System”) provided by the Rice Honor Council, and to ask for clarification if needed. The Handbook is available from: <http://honor.rice.edu/honor-system-handbook/>. If you ever have questions about how the Honor Code applies to any work in the class, contact me *before* you act.

Disability Support Services: Any student with a disability requiring accommodations in this class is encouraged to contact me. All discussion will remain as confidential as possible. Students with disabilities will need to contact Disability Support Services in the Allen Center, as well. For more information, you can visit <http://dss.rice.edu/> or call 713-348-5841.

Use of Electronics: Students may use laptop computers or tablets to take notes. Computers, however, may not be used for other purposes during class time. I reserve the right to ban all laptops, or those of specific students, from the classroom if their use becomes a problem during the semester.

Grade Appeals: If you think a grade I assigned you is incorrect, you can request me to consider re-grading. All grade appeals, however, must be made in writing. They may not be made in person. You should write a brief memo explaining your concerns and submit this memo to me along with the graded assignment. I will review your concerns and I may re-grade if necessary.

OWL-SPACE:

We will be using OWL-Space for this course. I will post all course materials, announcements, and grades on this system. You will also post your analytical essays, extra reading memo, and policy paper on OWL-Space. Please note that it is your responsibility to check for course updates on OWL-Space and course emails on a regular basis.

INSTRUCTOR CONTACT:

Please feel free to arrange to see me any time you think discussion on an individual basis would be helpful (about the course readings, assignments, or studying political science in general). It is important to me that this course enriches your educational experience. My scheduled office hours are 10:00-11:00 AM on Tuesday and Thursday. I am also happy to make an appointment to meet with you at another time.

DISCLAIMER:

I reserve the right to modify the syllabus and assignments throughout the semester. Modifications will be well communicated through email, OWL-Space, and in class.

COURSE SCHEDULE & READINGS:

Section I: Evolution and Development of IOs

***[#] extra readings

W1-1 Tuesday, Aug. 25: Introduction to Course

No readings

Suggested: Bueno de Mesquita, Bruce. 2014. "Evaluating Arguments about International Politics" (Chapter 1). *Principles of International Politics: People's Power, Preferences, and Perceptions*. 5th ed. Washington DC: CQ Press, pages 35-63.

W1-2 Thursday, Aug. 27: State System-Ordering or Organizing Political Space

Spruyt, Hendrik. 1994. *The Sovereign State and Its Competitors*. Princeton: Princeton University Press, pages 3-7 (Introduction) and 153-194 (Chapters 8 and 9).

W2-1 Tuesday, Sep. 1: Sovereignty-Its Meaning and Practice

Krasner, Stephen D. 2001. "Abiding Sovereignty." *International Political Science Review* 22 (3): 229-251.

W2-2 Thursday, Sep. 3: Early IOs—the League of Nations

Armstrong, David, Lorna Lloyd, and John Redmond (ALR). 2004. *International Organization in World Politics*, 3rd edition. New York: Palgrave-MacMillan, chapters 1-2.

W3-1 Tuesday, Sep. 8: WWII and the Creation of the United Nations

ALR chapters 3-5.

Security Council Background: <http://www.un.org/en/sc/about/>

United Nations Charter Chapter 5: <http://www.un.org/en/documents/charter/chapter5.shtml>

W3-2 Thursday, Sep. 10: The End of the Cold War and the United Nations

ALR chapters 6-7.

W4-1 Tuesday, Sep.15: Regional Integrations and the European Union

ALR chapters 8-11.

Section II: Functions and Effects of IOs

W4-2 Thursday, Sep. 17: Why Do States Create and Use IOs?

Kenneth W. Abbott and Duncan Snidal. 1998. Why States Act through Formal International Organizations. *Journal of Conflict Resolution* 42(1): 3-32.

W5-1 Tuesday, Sep. 22: Analytical Essay 1 Due (No Class) and Policy Paper Topic Due

W5-2 Thursday, Sep. 24: Are IOs Mere Reflection of Power Distribution?

John J. Mearsheimer. 1995. The False Promise of International Institutions. *International Security* 19(3): 5-49.

Keohane, Robert O. and Lisa L. Martin. 1995. The Promise of Institutional Theory. *International Security* 20(1): 39-51.

W6-1 Tuesday, Sep. 29: Do IOs Affect State Behavior?-Enforcement Issue

Downs, George W., David M. Rocke, and Peter N. Barsoom. 1996. Is the Good News About Compliance Good News About Cooperation? *International Organization* 50 (3): 379-406.

W6-2 Thursday, Oct. 1: How Do Monitoring and Enforcement Mechanisms Matter?

Milgrom, Paul R., Douglass C. North, and Barry R. Weingast. 1990. The Role of Institutions in the Revival of Trade: The Law Merchant, Private Judges, and the Champagne Fairs. *Economics and Politics* 2 (1): 1-23. (Technical)

W7-1 Tuesday, Oct. 6: How Do the Designs of IOs Matter?

Mitchell, Ronald B. 1994. Regime Design Matters: Intentional Oil Pollution and Treaty Compliance. *International Organization* 48 (3): 425-458.

W7-2 Thursday, Oct. 8: Who Want to Use IOs and Why? - Information Transmission

Thompson, Alexander. 2006. Coercion Through IOs: The Security Council and the Logic of Information Transmission. *International Organization* 60:1-34.

***[1] Tago, Atsushi and Ikeda Maki. 2014. An 'A' for Effort: Experimental Evidence on UN Security Council Engagement and Support for US military Action in Japan. *British Journal of Political Science* 45(2): 391-410.

***[2] Grieco, Joseph M., Christopher Gelpi, Jason Reifler, and Peter D. Feaver. 2011. Let's Get a Second Opinion: International Institutions and American Public Support for War. *International Studies Quarterly* 55(2): 563-583.

W8-1 Tuesday, Oct. 13: Midterm Recess (No Class)

W8-2 Thursday, Oct. 15: Who Want to Use IOs and Why? - Political Cover

Allee, Todd L. and Paul K. Huth. 2006. Legitimizing Dispute Settlement: International Legal Rulings as Domestic Political Cover. *American Political Science Review* 100 (2): 219-234.

***[3] Davis, Christina L. 2012. "Domestic Constraints and Active Enforcement" in *Why Adjudicate: Enforcing Trade Rules in the WTO*. Princeton: Princeton University Press, pages 26-61 (Chapter 2).

W9-1 Tuesday, Oct. 20: How Do Weak IOs Influence on State Behaviors?

Dai, Xinyuan. 2007. "The Power of Weak International Institutions" in *International Institutions and National Policies*. Cambridge: Cambridge University Press, pages 100-139 (Chapter 5).

***[4] Reimann, Kim D. (2006) A View from the Top: International Politics, Norms and the Worldwide Growth of NGOs. *International Studies Quarterly* 50(1): 45-67.

Suggested: Keck, Margaret E. and Kathryn Sikkink. 1999. Transnational Advocacy Networks in International and Regional Politics. *International Social Science Journal* 51(159): 89-101.

**W9-2 Thursday, Oct. 22: Whether and How IO Membership Matter?
- Externality and Contingency Effects**

Pevehouse, Jon C. 2002. Democracy from the Outside-In? International Organizations and Democratization. *International Organization* 56 (3): 515-549.

***[5] Poast, Paul and Johannes Urpelainen. 2013. Fit and Feasible: Why Democratizing State Form, not Join, International Organizations. *International Studies Quarterly* 57(4): 831-841.

***[6] Karreth, Johannes and Jaroslav Tir. 2013. International Institutions and Civil War Prevention. *Journal of Politics* 75 (1): 96-109.

W10-1 Tuesday, Oct. 27: IOs as Independent Actors - Principal-Agent Situations

Nielson, Daniel L. and Michael J. Tierney. 2003. Delegation to International Organizations: Agency Theory and World Bank Environmental Reform. *International Organization* 57 (2): 241-276.

***[7] Barnett, Michael and Martha Finnemore. 1999. The Politics, Power, and Pathologies of International Organizations. *International Organization* 53(4): 699-732.

W10-2 Thursday, Oct. 29: Analytical Essay 2 Due (No Class)

Section III: IOs in Specific Issue Areas (7 topics)

W11-1 Tuesday, Nov. 3: International Conflicts--the United Nations

Fortna, Virginia Page. 2004. Interstate Peacekeeping: Causal Mechanisms and Empirical Effects. *World Politics* 56 (4): 481-519.

***[8] Gilligan, Michael and Stephen John Stedman. 2003. Where Do the Peacekeepers Go? *International Studies Review*, 5(4): 37-54.

***[9] Peter Wallensteen and Birger Heldt. 2010. "International Peacekeeping: The UN versus Regional Organizations." In *The Politics of Global Governance: International Organizations in an Interdependent World*. Ed. by Paul F. Diehl and Brian Frederking. Boulder: Lynne Rienner, pages 143--159.

W11-2 Thursday, Nov. 5: International Trade--WTO

Douglas A. Irwin. 1995. The GATT in Historical Perspective. *The American Economic Review* 85(2): 323-328.

Judith Goldstein. 1998. "International Institutions and Domestic Politics: GATT, WTO, and the Liberalization of International Trade," in Anne O. Krueger, ed., *The WTO as an International Organization*. University of Chicago Press, pages 133-159.

***[10] Keisuke, Iida. 2004. Is WTO Dispute Settlement Effective?. *Global Governance* 10(2): 207-225.

***[10] Jayati Srivastava and Rajeev Ahuja. 2005. Shrimp-Turtle Decision in WTO: Economic and Systemic Implication for Developing Countries. *Economic and Political Weekly*, 37(33): 3445-3455.

***[11] Davis, Christina L. and Sarah Blodgett Bermeo. 2009. Who Files? Developing Country Participation in GATT/WTO Adjudication. *Journal of Politics*, 71(3): 1033-1049.

W12-1 Tuesday, Nov. 10: International Economy--IMF

Vreeland, James Raymond. 2003. *The IMF and Economic Development*. Cambridge University Press, pages 1-53 (Chapters 1-2).

***[12] Copelovitch, Mark S. 2010. Master or Servant? Common Agency and the Political Economy of IMF Lending. *International Studies Quarterly* 54 (1): 49-77.

***[13] Stingliz, Joseph E. 2003. Democratizing the International Monetary Fund and the World Bank: Governance and Accountability. *Governance* 16(1): 111-139.

W12-2 Thursday, Nov. 12: Development Aid—the World Bank Group

Milner, Helen, 2006. “Why Multilateralism? Foreign Aid and Domestic Principal-Agent Problems”, in Darren G. Hawkins, David A. Lake, Daniel L. Nielson & Michael J. Tierney, eds, *Delegation and Agency in International Organizations*. New York: Cambridge University Press. pages 107-139.

***[14] Helen V. Milner & Dustin Tingley. 2013. The Choice for Multilateralism: Foreign Aid and American Foreign Policy. *Review of International Organization* 8:313–341. (This article provides survey evidence.)

***[15] Woods, Ngaire. 2008. Whose Aid? Whose Influence? China, Emerging Donors and the Silent Revolution in Development Assistance. *International Affairs* 84 (6):1205-1221.

W13-1 Tuesday, Nov. 17: International Courts /International Arbitration

Gent, Stephen E. 2013. The Politics of International Arbitration and Adjudication. *Penn State Journal of Law & International Affairs* 2 (1): 66-77.

Simmons, Beth A. and Allison Danner. 2010. Credible Commitments and the International Criminal Court. *International Organization* 64 (2): 225-256.

***[16] Allee, Todd and Clint Peinhardt. 2011. Contingent Credibility: The Impact of Investment Treaty Violations on Foreign Direct Investment, *International Organization* 65 (3): 401-432.

***[17] Chapman, Terrence and Stephen Chaudoin. 2013. Ratification Patterns and the International Criminal Court. *International Studies Quarterly* 57 (2):400-409 (pay attention to the difference in the arguments by Simmons and Danner (2010))

W13-2 Thursday, Nov. 19: Human Rights Protection and Human Right Treaties

Hafner-Burton, Emilie M. 2008. Sticks and Stones: The Efficacy of Human Rights Naming and Shaming. *International Organization* 62 (4): 689-716.

Lebovic, James H. and Erik Voeten. 2009. The Cost of Shame: International Organizations and Foreign Aid in the Punishing of Human Rights Violators. *Journal of Peace Research* 46 (17): 79-97.

***[18] Neumayer, Eric. 2005. Do International Human Rights Treaties Improve Respect of Human Rights? *Journal of Conflict Resolution* 49 (6): 925-953.

***[19] Emilie M. Hafner-Burton. 2005. Trading Human Rights: How Preferential Trade Agreements Influence Government Repression. *International Organization* 59(3): 593-629.

W14-1 Tuesday, Nov. 24: Labor Rights –ILO

Baccini, Leonardo, and Mathias Koenig-Archibugi. 2014. Why do States Commit to International Labor Standards? Independent Ratification of Core ILO Conventions 1948-2009. *World Politics* 66(3): 446-490.

***[20] Starngm David and Patricia Mei Yin Chang. 1993. The International Labor Organization and the Welfare State: Institutional Effects on National Welfare Spending, 1960-80. *International Organization*, 47(2): 235-262.

***[21] Berik, Günseli, and Yana Van Der Meulen Rodgers. 2010. Options for Enforcing Labour Standards: Lessons from Bangladesh and Cambodia. *Journal of International Development* 22(1): 56-85.

W14-2 Thursday, Nov. 26: Thanksgiving Recess (No Class)

W15-1 Tuesday, Dec. 1: Review and Further Topics

No Readings

W15-2 Thursday, Dec. 3: Analytical Essay 3 Due (No Class)